

University of Connecticut Board of Trustees & University of Connecticut Health Center Board of Directors - Joint Audit and Compliance Committee Meeting
December 7, 2005
10:00 AM-12:00 PM
AGENDA

| <u>Issue</u> | <u>Proposed Action</u> | <u>Time Allotted Minutes</u> | <u>Tab</u> |
|--|------------------------|------------------------------|------------|
| Opportunity for Public Comment | | 3 | None |
| Minutes of September 8, 2005 | Approval | 3 | 1 |
| Follow-up – September 8, 2005 JACC Meeting | Discussion | 3 | 2 |
| Significant Activities – September-November, 2005 Storrs Compliance & Audit UCHC Compliance UCHC Audit | Update/Discussion | 5 | 3 |
| Status of Audit Assignments Internal Audit PricewaterhouseCoopers | Update | 5 | 4 |
| Office of Audit, Compliance & Ethics Staff Education/Certifications Contact List Embedded Organizational Chart – Compliance Staff | Discussion | 5 | 5 |

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| Documents for Board Review & Approval JACC Meeting Schedule Charter – Executive Compliance Committee Charter - Clinical Compliance Committee Draft - University's Ethics Statement Draft - University's Code of Conduct | Approval Approval Approval Review Review | 10 | 6 |
| External Audit Engagements | Review/Approval of Scope | 5 | 7 |
| Governor's Commission on UConn Review & Accountability The Office of Audit, Compliance & Ethics Follow-up & Action Plan | Discussion | 5 | 8 |
| Compliance Resource Group, Inc. Storrs Corporate Compliance Program Assessment – Final Report | Presentation Discussion | 10 | 9 |
| Blum Shapiro Management Letter – FY 2002 – Final Report The Office of Audit, Compliance & Ethics Follow-up & Action Plan | Presentation Discussion | 15 | 10 |
| KPMG UCHC - 2005 Financial Statement Audit | Presentation Discussion | 20 | 11 |
| Executive Session - Pending Claims & Litigation | | 30 | |
| Other Business | Discussion | | |

