

**University of Connecticut Board of Trustees & University of Connecticut Health Center
Board of Directors - Joint Audit and Compliance Committee Meeting
December 11, 2007
10:00 AM-12:00 PM
AGENDA**

Issue	Proposed Action	Time Allotted Minutes	Tab
Opportunity for Public Comment		3	None
Minutes of September 11, 2007	Approval	3	1
Significant Compliance Activities <ul style="list-style-type: none"> • Storrs • UCHC <ul style="list-style-type: none"> ◇ Executive Compliance Committee Charter (Revision) ◇ Job Description – Compliance Education Director/Faculty Liaison 	Update/Discussion Board Approval Board Approval	10	2
NEW – OACE Quarterly Newsletter (UConn and UCHC) – “Compliance <i>courier</i> ” (Volume 1, Issue 1 - Fall 2007)	Discussion	5	3
Status of Audit Assignments <ul style="list-style-type: none"> • Storrs • UCHC • IT – Storrs & UCHC 	Update	5	4
2008 Audit Plan Revisions/Methodology <ul style="list-style-type: none"> • Storrs • UCHC 	Update	10	5

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Auditors of Public Accounts – Independent Auditors’ Report on Applying Agreed-Upon Procedures to the Internal Audit related to the January 6, 2006 Compliance Agreement	Discussion	5	6
<p>External Audit Engagements</p> <ul style="list-style-type: none"> • UHY, LLP – Request to hire – UConn 2000 expenditures for FY 07 • PwC, LLP – Request to hire – Internal Auditing Services to augment UCHC’s internal audit capabilities • KPMG – Financial Statements – Fiscal Year 2007 <ul style="list-style-type: none"> ◇ John Dempsey Hospital ◇ University Medical Group ◇ Finance Corporation 	<p>Board Approval</p> <p>Board Approval</p> <p>Presentation</p>	15	7
<p>Committee Education</p> <ul style="list-style-type: none"> • A Holistic View of Risk – Institute of Internal Auditors (IIA) • Corporate Responsibility and Health Care Quality – Office of Inspector General (OIG) and American Health Lawyers Association • Clinical Trials Update 	Discussion	10	8
Executive Session Anticipated		10	n/a
Executive Session for Management Performance discussion with JACC Members, OACE Staff, External Auditors & State Auditors		10	n/a