

Quandary

Why is that Government Agent Standing on my Doorstep?

Under certain circumstances the Federal or State government or other external auditors or investigators may perform investigations or audits into possible violations of laws and regulations by institutions. These external auditors or investigators may even contact employees of UCHC at home to demand information required for their investigations.

Anyone would be anxious in this situation. If this ever happens to you, you will no doubt have many questions. What are your rights in a situation like this? Are there certain steps you need to take? Should you notify someone at UCHC?

Quandary Guidance

While we hope this doesn't ever happen to you, it could. It's best to know some key points if it ever does. It is UCHC's policy to fully comply with all such audits, investigations, and regulatory reviews. Any questions regarding this policy should be directed to the Office of Audit, Compliance and Ethics at (860) 679-4180.

Here is our policy link, http://www.policies.uchc.edu/policies/policy_2009_04.pdf but below are a few key points as guidance.

- Ask the investigator for identification to confirm identity and call the agency to verify. Don't just call the number given to you, but contact the agency for verification.
- You have the right to legal counsel. UCHC's policy in this case requires you to contact the Assistant Attorney General's (AAG) office to report the request for interview with the investigator. The AAG will advise you how to proceed.
- Don't be pressured to answer any questions by the investigator. You have the right to wait for legal counsel.
- Inform your supervisor directly of the situation.
- Don't disclose any UCHC documents to government investigators without first obtaining approval through the appropriate UCHC administrative channels.
- Don't change, alter, move or destroy any documents, other types of records, or electronic communications pertinent to the investigation.