

DRAFT

University of Connecticut & UConn Health  
**Joint Audit & Compliance Committee Meeting**  
**Minutes of the September 2, 2021 Meeting**

WebEx Meeting

Attendees	
<b>Trustees/ Directors:</b>	Chair: M. Boxer, D. Toscano, J. Gouin, R. Carbray, T. Holt, A. Dennis-Lavigne
<b>Staff:</b>	A. Agwunobi, O. Andujar, C. Bernard, L. Blanchard, J. Blumenthal, A. Buckley, W. Byerly, A. Cunningham, A. Duquette, M. Delaney, C. Delello, T. Dyer, K. Fearney, W. Felgate, A. Fiorvanti, M. Frank, N. Fuerst, E. Gallo, B. Gelston, N. Gelston, J. Geoghegan, C. Gray, L. Hansen, H. Hildebrandt, K. Hill, D. Hook, A. Keilty, M. Kennedy, G. Kersaint, F. LaRosa, M. Lucas, B. Matusik, K. Metcalf, M. Mundrane, C. Murray, L. Neal, G. Nelson, G. Perrotti, A. Phung, A. Quaresima, R. Rubin, E. Schenider, J. Simpson, E. Vitullo, B. Woodaman, R. Wrynn

**1. Opening**

The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:00 a.m. by Committee Chair Boxer.

**2. Executive Session anticipated**

**EXECUTIVE SESSION**

**ON A MOTION** made by Committee Chair Boxer, approved by Director Carbray and seconded by Trustee Gouin the JACC voted unanimously to go into executive session to discuss:

- C.G.S. 1-210(b)(1)– Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure.
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship.
- C.G.S. 1-210(b)(20)– Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

**Executive Session was attended by the following: JACC, BOT and BOD members:** M. Boxer, D. Toscano, J. Gouin, R. Carbray, T. Holt, A. Dennis-Lavigne; **President and Senior Staff:** A. Agwunobi, L. Blanchard, J. Blumenthal, W. Byerly, N. Fuerst, N. Gelston, J. Geoghegan, A. Keilty, G. Kersaint, R. Rubin, J. Simpson; **AMAS Staff:** T. Dyer, E. Gallo, H. Hildebrandt, D. Hook, M. Kennedy, C. Murray, F. LaRosa, G. Perrotti, A. Quaresima; **University Compliance Staff** O. Andujar, K. Fearney, B. Gelston, K. Hill, L. Neal, E. Vitullo; **Executive Session was also attended by:** A. Buckley, C. Bernard, C. Gray, M. Mundrane.

The Executive Session ended at 11:06 a.m.

**OPEN SESSION**

The JACC returned to public session at 11:07 a.m.

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**1. Public Participation**

There were no public comments.

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by Director Carbray the Minutes of the June 10, 2021 JACC meeting was unanimously approved by the JACC.

**2. External Audit Activities**

F. LaRosa provided an update on the status of external audit engagements.

M. Delaney provided a presentation on the FY 2020 statewide single audit.

A. Duquette provided a presentation on the JDH Hemophilia Treatment Center annual 340B independent audit.

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by, Director Holt the NCAA Financial agreed upon procedures auditors James Moore was unanimously approved by the JACC.

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by, Director Carbray the 340b JDH and Ryan White services compliance partners was unanimously approved by the JACC.

F. LaRosa informed the JACC that there are informational items attached to the agenda regarding the Public Act 21-145 impact.

**3. Significant Internal Audit Activities**

F. LaRosa provided an update on the status of internal audits.

F. LaRosa provided an update on the status of internal audit findings.

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Dennis-Lavigne and seconded by, Trustee Gouin the audit plan for FY 2022 was unanimously approved by the JACC.

**4. Compliance Activities**

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by, Director Carbray the compliance plan for FY 2022 was unanimously approved by the JACC.

K. Fearney provided an update on the significant compliance activities.

E. Schneider provided a presentation on the NCAA Compliance update.

K. Fearney informed the JACC that there are informational and educational compliance items attached to the agenda.

**5. Information Technology Update**

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M. Mundrane provided an update on the UConn information technologies.

A. Buckley provided an update on the UConn Health information technologies.

**6. Conclusion of Full Meeting**

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by, Director Carbray the JACC Committee voted unanimously to adjourn the meeting.

There being no further business appearing, the JACC meeting was adjourned at 11:36 a.m.

Respectfully submitted,

*Molly Kennedy*