

University of Connecticut & UConn Health  
**Joint Audit & Compliance Committee Meeting**  
**Minutes of the June 10, 2021 Meeting**

WebEx Meeting

Attendees	
<b>Trustees/ Directors:</b>	Chair: M. Boxer, F. Archambault, D. Toscano, J. Gouin, R. Carbray, T. Holt
<b>Staff:</b>	A. Agwunobi, O. Andujar, C. Bernard, L. Blanchard, J. Blumenthal, K. Bailot, K. Buffkin, W. Byerly, P. Casey, S. Croucher, L. Cruickshank, A. Cunningham, G. Daniels, M. Delaney, C. Delello, T. Dyer, W. Felgate, M. Frank, K. Fearney, N. Fuerst, E. Gallo, B. Gelston, N. Gelston, M. Gendreau, J. Geoghegan, C. Gray, S. Guralnick, L. Hansen, H. Hildebrandt, K. Hill, D. Hook, C. Hyers, A. Keilty, M. Kennedy, F. LaRosa, M. Lucas, B. Matusik, K. Metcalf, M. Mundrane, L. Neal, G. Perrotti, A. Phung, C. Podesta, A. Quresima, K. Rourke, R. Rubin, J. Simpson, E. Vitullo, B. Woodaman, R. Wrynn, E. Zincavage

**1. Opening**

The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:00 a.m. by Committee Chair Boxer.

**2. Executive Session anticipated**

**EXECUTIVE SESSION**

**ON A MOTION** made by Committee Chair Boxer, approved by Director Carbray and seconded by Trustee Gouin the JACC voted unanimously to go into executive session to discuss:

- C.G.S. 1-210(b)(1)– Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure.
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship.
- C.G.S. 1-210(b)(20)– Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

**Executive Session was attended by the following: JACC, BOT and BOD members:** M. Boxer, F. Archambault, D. Toscano, J. Gouin, R. Carbray, T. Holt; **President and Senior Staff:** A. Agwunobi, L. Blanchard, J. Blumenthal, W. Byerly, P. Casey, S. Croucher, N. Fuerst, N. Gelston, J. Geoghegan, A. Keilty, R. Rubin, C. Ryan, J. Simpson; **AMAS Staff:** T. Dyer, E. Gallo, M. Gendreau, H. Hildebrandt, D. Hook, M. Kennedy, F. LaRosa, G. Perrotti, A. Quresima, E. Zincavage; **University Compliance Staff** O. Andujar, K. Fearney, B. Gelston, S. Guralnick, K. Hill, L. Neal, E. Vitullo; **Executive Session was also attended by:** C. Bernard, A. Cunningham, C. Gray, C. Hyers, M. Mundrane, C. Podesta.

The Executive Session ended at 11:09 a.m.

**OPEN SESSION**

The JACC returned to public session at 11:10 a.m.

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**1. Public Participation**

There were no public comments.

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by Director Carbray the Minutes of the March 4, 2021 JACC meeting was unanimously approved by the JACC.

**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by Director Holt the Minutes of the April 30, 2021 JACC meeting was unanimously approved by the JACC.

**2. External Audit Activities**

F. LaRosa provided an update on the status of external audit engagements.

**ON A MOTION** made by Committee Chair Boxer, approved by Director Archambault and seconded by Trustee Gouin the Marcum LLP- FY21 fee increase was unanimously approved by the JACC.

K. Metcalf provided a presentation on the UConn Health's John Dempsey Hospital's annual 340B independent audit.

K. Metcalf provided a presentation on the UConn Health's Ryan White annual 340B independent audit.

W. Felgate provided a presentation on the Auditors of Public Accounts UConn departmental audit for the FYs 2016, 2017, and 2018

**3. Significant Internal Audit Activities**

F. LaRosa provided an update on the status of internal audits.

F. LaRosa provided an update on the status of internal audit findings.

**4. Compliance Activities**

K. Fearney provided an update on the significant compliance activities.

A. Cunningham provided a presentation on the Office of Healthcare Compliance and Privacy.

K. Fearney informed the JACC that there are informational and educational compliance items attached to the agenda.

**5. Information Technology Update**

There were no updates provided from UConn Health on information technologies.

M. Mundrane provided an update on the UConn information technologies.

**6. Conclusion of Full Meeting**

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**ON A MOTION** made by Committee Chair Boxer, approved by Trustee Gouin and seconded by, Director Carbray the JACC Committee voted unanimously to adjourn the meeting.

There being no further business appearing, the JACC meeting was adjourned at 11:54 a.m.

Respectfully submitted,  
*Molly Kennedy*