1. Opening

The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:04 a.m. by Committee Chair Boxer.

2. Executive Session anticipated

EXECUTIVE SESSION

ON A MOTION by Trustee Pollard and seconded by Director Archambault, the JACC voted unanimously to go into executive session to discuss:

- C.G.S. 1-210(b)(1)– Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure.
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims.
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship.
- C.G.S. 1-210(b)(20)– Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.


The following were in attendance for part of Executive session: **University Information Technology Staff:** A. Buckley, C. Bernard, M. Mundrane.

The Executive Session ended at 10:34 a.m.
OPEN SESSION

The JACC returned to public session at 10:35 a.m.

1. Public Participation

There were no public comments.

ON A MOTION by Trustee Dennis-LaVigne and seconded by Director Archambault, the Minutes of the December 9, 2021, JACC meeting were unanimously approved.

2. External Audit Activities

A. Quaresima provided an update on the status of external audit engagements.

ON A MOTION by Director Holt and seconded by Trustee Pollard, the UConn Health appointment of CliftonLarsonAllen LLP as the independent auditors of the John Dempsey Hospital, University Medical Group, and the UCHC Finance Corporation for the fiscal years 2022, 2023 and 2024 was unanimously approved by the JACC.

M. Delaney provided a presentation on the UConn Health audit of the annual comprehensive financial report for the year ended June 30, 2021.

M. Delaney provided a presentation on the UConn Health departmental audit for fiscal years ended June 30, 2019 and 2020.

C. Jackson provided a presentation on the audits of financial statements for UConn Health’s John Dempsey Hospital, University Medical Group and Finance Corporation for the fiscal year ended June 30, 2021.

3. Significant Internal Audit Activities

A. Quaresima provided an update on the status of internal audits.

A. Quaresima provided an update on the status of internal audit findings.

A. Quaresima provided an update on the current Audit and Management Advisory Services staffing.

4. Compliance Activities

K. Fearney provided an update on significant compliance activities.

W. Byerly provided a presentation on the Office of Science and Technology policy implementation guidance related to the national security strategy for U.S. government-supported research and development

K. Fearney informed the JACC that there are informational and educational compliance items included in the materials.
5. Information Technology Update

M. Mundrane provided an update on the UConn information technologies.

A. Buckley provided an update on the UConn Health information technologies.

6. Conclusion of Full Meeting

ON A MOTION by Director Archambault and seconded by Trustee Pollard, the JACC Committee voted unanimously to adjourn the meeting.

There being no further business appearing, the meeting was adjourned at 11:26 a.m.

Respectfully submitted,

Molly Kennedy