1. Opening
The Joint Audit and Compliance Committee (JACC) meeting was called to order at 10:01 a.m. by Vice-Chair Boxer.

2. Executive Session

ON A MOTION by Trustee Pollard and seconded by Trustee Gouin, the JACC voted unanimously to go into executive session to discuss:

- C.G.S. 1-210(b)(1)– Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure.

- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims.

- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship.

- C.G.S. 1-210(b)(20)– Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

The entire executive session was attended by the following:

JACC Members: Boxer, Archambault, Carbray, Dennis-LaVigne, Gouin, Holt, Pollard.

Other Trustees: Toscano.

President and Senior Staff: Maric, Blumenthal, Casey, D’Alleva, Fearney, Gelston, Geoghegan, Keilty, Liang, Perrotti, Quaresima, Rubin, Simpson, Wrynn.
The following were in attendance for part of Executive session: Bernard, Brown, Buckley, Horbatuck, Leber, Mundrane, Ryan, Terranova. 

The Executive Session ended at 10:41 a.m.

OPEN SESSION

The JACC returned to public session at 10:43 a.m.

1. Public Participation

No members of the public wished to address the Committee.

ON A MOTION by Trustee Dennis-LaVigne and seconded by Director Archambault, the minutes of the March 18, 2022, JACC meeting were unanimously approved.

2. External Audit Activities

A. Quaresima provided an update on the status of external audit engagements.

B. Sullivan and P. Quinn from Mayer Hoffman McCann provided a presentation on the FY21 UCONN 2000 Infrastructure Program Agreed-Upon Procedures Audit.

K. Metcalf provided a presentation on the Annual 340B Drug Pricing Program Audits for the period June 1, 2021 – November 30, 2021, for:

- John Dempsey Hospital
- Ryan White (Ryan White Part A)
- Hemophilia Treatment Center (HTC)

3. Significant Internal Audit Activities

A. Quaresima introduced the two new staff auditors, Mary Alice Gendreau and Nataliya Lestage.

A. Quaresima provided an update on the status of internal audits. The JACC reviewed and accepted five audit reports. He also provided an update on the status of internal audit findings.

4. Compliance Activities

K. Fearney introduced a new member of the Office of University Compliance, Kimberly Colon, Associate Compliance Officer.

K. Fearney also provided an update on significant compliance activities and gave a brief presentation on the required Compliance Training Completion Data. She noted that there are informational and educational compliance items included in the materials.
5. Information Technology Updates

M. Mundrane and C. Bernard provided an update on the UConn information technologies.

A. Buckley and D. Leber provided an update on the UConn Health information technologies.

6. 2022 Retirement Updates

C. Delello gave a presentation on the University of Connecticut’s 2022 Retirement Status, and L. Brown provided information on UConn Health’s 2022 Retirement Status.

7. Conclusion of Full Meeting

ON A MOTION by Director Archambault and seconded by Trustee Pollard, the Committee voted unanimously to adjourn the meeting.

There being no further business appearing, the meeting was adjourned at 11:54 a.m.

Respectfully submitted,

Karen Violette