

Joint Audit & Compliance Committee

Revised Agenda

9:00am – 9:30am – Executive Session

9:30am – 10:30am - Public Meeting

10:30am – 11:30am - Executive Session

December 15, 2011

Issue	Proposed Action	Tab
<p>Executive Session [CGS Sections 1-200(6)] to discuss:</p> <ul style="list-style-type: none"> C.G.S. 1-200(6)(E) – Preliminary drafts or notes that the public agency has determined the public’s interest in withholding outweighs the public’s interest in disclosure. [1-210(b)(1)] C.G.S. 1-200(6)(E) A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] C.G.S. 1-200(6)(E) – A discussion of a matters which would result in the disclosure of public records or the information contained therein pertaining to communications privileged by the attorney-client relationship regarding advice provided by outside counsel. [1-210(b)(10)] 		None
Opportunity for Public Comment		None
<p>Minutes of Prior Meetings</p> <ul style="list-style-type: none"> 09/07/11 - JACC Meeting 10/05/11 - Special Meeting of the JACC 	Approval Approval	1
<p>Significant Compliance Activities</p> <ul style="list-style-type: none"> University Process for Developing, Approving, Issuing or Revising Policy Storrs Compliance Area - Athletics UCHC Compliance Area – Medicare Coverage Determinations 	Update Presentation Presentation	2
<p>Significant Audit Activities</p> <ul style="list-style-type: none"> Status of Audit Assignments (Storrs & UCHC) Audit Follow-up Process and Sample Charts 	Update Update	3
<p>Joint Audit and Compliance Committee</p> <ul style="list-style-type: none"> 2011 Self Assessment – Executive Summary 2011 JACC Self Assessment – Detailed Analysis 	Information Only	4

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<p>The Office of Audit, Compliance & Ethics</p> <ul style="list-style-type: none"> 3-year Plan (FY 12-14) - draft Staffing Update 	Information Only	5
<p>External Engagements Update</p> <ul style="list-style-type: none"> Annual Audit of Uconn 2000 Construction Projects – McGladrey & Pullen, LLP Financial Statements – Fiscal Year Ended 2010 – KPMG (anticipated) <ul style="list-style-type: none"> University Medical Group (UMG) John Dempsey Hospital (JDH) Financial Corporation NCAA agreed upon procedures on behalf of the University’s Athletics Program for Fiscal Year Ended 2011 – BKD 	<p>Update</p> <p>Presentation</p> <p>Presentation</p>	6
<p>Auditors of Public Accounts</p> <ul style="list-style-type: none"> State of Connecticut Auditors’ Reports - The University of Connecticut Health Center For the Fiscal Years Ended June 30, 2009 and 2010 	Presentation	7
<p>Informational/Educational Items</p> <ul style="list-style-type: none"> Quarterly Newsletters <ul style="list-style-type: none"> University of Connecticut Health Center University of Connecticut 	Information Only	8
<p>Executive Session [CGS Sections 1-200(6)] to discuss</p> <ul style="list-style-type: none"> C.G.S. 1-200(6)(C) & (E) - Matters concerning standards, processes and codes not available to the public the disclosure of which would compromise the security or integrity of information technology systems [1-210(b)] 		
<p>Conclusion of Full Meeting</p>		
<p>Information Session with OACE’s Chief Audit & Compliance Auditor and Direct Reports</p>		