

Joint Audit & Compliance Committee

Agenda

1:00pm – 1:30pm – Executive Session

1:30pm – 3:30pm - Public Meeting

April 12, 2012

Issue	Proposed Action	Tab
<p>Executive Session to discuss:</p> <ul style="list-style-type: none"> C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined the public’s interest in withholding outweighs the public’s interest in disclosure. [1-210(b)(1)] C.G.S. 1-200(6)[E] A discussion of any matter which would result in the disclosure of public records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] C.G.S. 1-200(6)[C] - Matters concerning standards, processes and codes not available to the public the disclosure of which would compromise the security or integrity of information technology systems 		None
Opportunity for Public Comment		None
Minutes of the December 15, 2011	Approval	1
<p>Significant Compliance Activities</p> <ul style="list-style-type: none"> Storrs Compliance Area – Environmental Health & Safety UCHC Compliance Area – Clinical Trails Billing Monitors 	Update	2
<p>Significant Audit Activities</p> <ul style="list-style-type: none"> Status of Audit Assignments (Storrs & UCHC) Audit Follow-up Activity FY12 – Revised Audit Plans 	Update Update Update	3
<p>Joint Audit and Compliance Committee</p> <ul style="list-style-type: none"> 2011 Self Assessment – Executive Summary 2011 JACC Self Assessment – Detailed Analysis 	Update	4

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The Office of Audit, Compliance & Ethics <ul style="list-style-type: none"> • 3-year Plan (FY 12-14) - draft 	Update	5
External Engagements Update <ul style="list-style-type: none"> • Annual Audit of Uconn 2000 Construction Projects – McGladrey & Pullen, LLP • Approval to Hire External Auditors - Financial Statements of UCHC JDH, UMG & Finance Corporation 	Update Approval	6
Auditors of Public Accounts - Audited Financial Statements <ul style="list-style-type: none"> • University of Connecticut • University of Connecticut Health Center 	Presentation	7
Informational/Educational Items <ul style="list-style-type: none"> • Quarterly Newsletter - University of Connecticut - (Winter 2012) • Agenda Forcast • “Ohio State Needs Ethics Office” <i>ESPN.com</i> 	Information Only	8
Conclusion of Full Meeting		
Information Session with OACE’s Chief Audit & Compliance Auditor and Direct Reports		