



OACE welcomes Minor Protection Coordinator

Omar Andujar joined the Office of Audit, Compliance & Ethics in December as the Minor Protection Coordinator for Storrs, Regional and UConn Health Campuses. He is responsible for the development, implementation, and evaluation of University-wide Protection of Minors efforts, including developing and delivering training and educational resources, implementing risk reduction strategies, managing the central registration of activities involving minors, and carrying out compliance monitoring activities.



Omar's previous work experience includes managing Protection of Minors efforts and organization-wide compliance efforts within the higher education and non-profit sectors. He is a Certified Compliance and Ethics Professional (CCEP). Omar holds a B.B.A. in Supervisory Management and a M.B.A. in Corporate Innovations. He has frequently presented and published articles on the topic of minors on campus and is a member of the Institute of Internal Auditors and the University Risk Management and Insurance Association.

Annual Compliance Training

It's that time of year again! The Office of Audit, Compliance & Ethics is launching its annual Compliance Training in February. Compliance Training consists of an overview of policies that affect all employees such as the Code of Conduct and the University Guide to the State Code of Ethics.

Training is available in two formats. You may attend an in-person presentation at the **Student Union Theatre** or take the training online. The online training will require participants to accurately answer questions throughout the session and will be available in February. We will be introducing a new electronic scanning system for in-person training to document individual's attendance. **Employees will need to bring their UConn ID's when attending a live session.**

Faculty and Staff must complete one or the other by May 20, 2016.

This year's Compliance Training topics will cover:

- Code of Conduct and the Importance of Individual Behaviors;
- University Guide to the State Code of Ethics;
- Information Management at UConn;
- and Various other Topics.

For information on training, please visit:

<http://audit.uconn.edu/annual-compliance-training-storrs/>

Contact The Office of Audit, Compliance & Ethics at (860) 486-2530 with questions.

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Policy Updates

The Board of Trustees recently approved the Policy Against Discrimination, Harassment, and Related Interpersonal Violence effective January 1, 2016. The policy is an updated, streamlined policy that more clearly articulates the University's commitment to maintaining a safe and non-discriminatory learning, living and working environment for all members of the University community— students, employees and visitors. The new policy will combine three existing, separate policies (Sexual Assault Response Policy; Policy Against Discrimination, Harassment and Inappropriate Romantic Relationships; Title IX Notice) under one coordinated, easier-to-read policy.

Please review the policy at <http://policy.uconn.edu/?p=6592>. We ask that managers who supervise employees with limited computer access, please post the policy in a common area.

For more information, please contact the Office of Diversity & Equity at (860) 486-2943.

Revolving Door Provisions

If you are leaving the University or you are actively pursuing alternative employment opportunities, please consider the Code of Ethics obligations that address post-state employment. One year and lifetime bans may apply depending on the circumstance. The OSE (The Office of State Ethics) specifies instances of bans in the "[Public Officials and State Employees Guide to the Code of Ethics](#)."

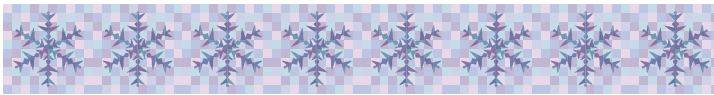
Examples of Restrictions

Lifetime

You may never disclose any confidential information you learned during the course of your state service for anyone's financial gain.

One year

You may not be hired for one year after you leave state service by a party to a state contract valued at \$50,000 or more if you were substantially involved in, or supervised, the negotiation or award of that contract and it was signed within your last year of service.



New Resource: Compliance Listserv

The Office of Audit, Compliance & Ethics values its collaborative relationship with administrative staff in each of our schools, colleges and departments. We rely on these relationships to help disseminate key compliance information to all our faculty and staff. We are looking to expand these efforts through the newly created **Compliance at UConn Listserv**. We will be sending periodic updates on policy, training, records management, privacy and other important compliance information.

If you have any colleagues that would benefit from this Listserv please email their names to Elizabeth.Vitullo@uconn.edu.



The Compliance Courier is a quarterly newsletter issued by the Office of Audit, Compliance & Ethics. Each newsletter will provide updates on important compliance issues. For questions or concerns or to suggest future articles, please contact Kimberly Fearney at (860) 486-6195 or Kim.Fearney@uconn.edu.

Did You Know?

- We feature policy updates in the Daily Digest?
- Previous editions of our quarterly newsletter may be found at <http://audit.uconn.edu/newsletter/>?
- The Joint Audit and Compliance Committee (JACC) Meeting Minutes are viewable [here?](#)