The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 2:00 p.m. by Trustee Nayden. ON A MOTION made by Trustee Nayden and seconded by Director Archambault, THE JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

**Executive Session was attended by the following:** Joint Audit & Compliance Committee Members: F. Archambault, M. Boxer, R. Carbray, J. Freedman, J. Gouin, and D. Nayden

Audit Staff members: C. Chiaputti, K. Goss, H. Hildebrandt, A. Marsh, G. Perrotti, A. Quaresima, and E. Zincavage; Compliance Staff members: K. Fearney, L. Neal, and E. Vitullo; Senior Staff: A. Agwunobi, W. Byerly, J. Geoghegan, S. Jordan, R. Rubin, and J. Shoulson; General Counsel: N. Gelston, B. White; Portions of Executive Session were also attended by: A. Capo, C. Gray, B. Metz, M. Mundrane, and R. Rudnick.

The Executive Session ended at 2:40 p.m. and the JACC returned to open session at 2:41 p.m. There were no public comments.

**Tab 1 – Minutes of the Meeting**
ON A MOTION made by Trustee Nayden and seconded by Trustee Gouin the minutes of the March 1, 2018, JACC meeting were approved.

**Tab 2 – Auditors of Public Accounts**
The Findings, Recommendations, and Management Responses were provided for the Federal Student Financial Assistance Statewide Higher Education part of the report, pages 286-302.

He also presented the Report on the University of Connecticut Health Center for the Fiscal Years Ended June 30, 2015 and 2016.
Tab 3 – UConn and UConn Health Significant Compliance Activities
K. Fearney provided an update on the reorganization efforts of both centralized and decentralized compliance units.

Tab 4 – Storrs & UConn Health Significant Audit Activities
C. Chiaputti provided the JACC with an update on the status of audit assignments (Storrs and UConn Health). The JACC accepted seven audits presented, in addition, Audit and Management Advisory Services had eighteen audits in progress during this reporting period.

Tab 5 – Payment Card Industry Data Security Standards (PCIDSS) Update – Storrs and Regional Campuses
P. Selleck provided an overview of the TouchNet Marketplace solution, which will assist with PCIDSS compliance the committee regarding Payment Card Industry Data Standards for Storrs and Regional Campuses.

Tab 6 – UConn Health - HealthONE
UConn Health CIO, B. Metz, provided a HealthONE update for the Epic EHR Project to the committee.

Tab 7 – External Engagements
C. Chiaputti provided a status on external audit engagements.

Tab 8 – Informational / Educational Items
• The Government Finance Officers Association Certificate of Achievement for Excellence in Financial Reporting was awarded to UConn;
• Identify Theft Prevention Program Update;
• Article: Practical Guidance for Health Care Governing Boards on Compliance Oversight.

There being no further business, ON A MOTION made by Trustee Nayden and seconded by Trustee Carbray, the meeting was adjourned at 3:08 p.m.

Respectfully submitted,

Angela Marsh