The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:02 a.m. by Trustee Nayden. **ON A MOTION** made by Trustee Nayden and seconded by Trustee Carbray, THE JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

**Executive Session was attended by the following**: Joint Audit & Compliance Committee Members: F. Archambault, R. Carbray, J. Freedman, J. Gouin, and D. Nayden

**Audit Staff members**: C. Chiaputti, E. Gallo, K. Goss, H. Hildebrandt, A. Marsh, C. Murray, G. Perrotti, A. Quaresima, and E. Zincavage; **Compliance Staff members**: K. Fearney, and E. Vitullo; **Senior Staff**: A. Agwunobi, W. Byerly, J. N. Fuerst, Geoghegan, S. Jordan, R. Rubin, and J. Shoulson; **General Counsel**: J. Blumenthal, N. Gelston; **Portions of Executive Session were also attended by**: C. Gray, M. Mundrane, J. Pufahl, and R. Rudnick.

The Executive Session ended at 10:48 a.m. and the JACC returned to open session at 10:50 a.m. There were no public comments.

**Tab 1 – Minutes of the Meeting**

ON A MOTION made by Trustee Nayden and seconded by Director Archambault the minutes of the September 20, 2018, JACC meeting were approved.

**Tab 2 – Significant Compliance Activities**

K. Fearney provided an update on compliance activities.

A. Fiorvanti provided an Athletics NCAA Compliance update.

**Tab 3 – Storrs & UConn Health Significant Audit Activities**

C. Chiaputti provided the JACC with an update on the status of audit assignments (UConn and UConn Health). The JACC reviewed and accepted eight audits. In addition, Audit and Management Advisory Services had thirteen audits in progress during this reporting period.

**Tab 4 – UConn Health – HealthONE Update**

The committee was provided update materials regarding HealthONE.
Tab 5 – Audit and Compliance Plans
C. Chiaputti provided the committee with the FY19 Draft Audit Plans for Storrs and UConn Health and ON A MOTION made by Trustee Nayden and seconded by Trustee Carbray; they were approved by the committee.

K. Fearney provided the committee with the FY19 Draft Compliance Plans for Storrs and UConn Health and ON A MOTION made by Trustee Nayden and seconded by Trustee Gouin; they were approved by the committee.

Tab 6 – External Engagements
C. Chiaputti provided a status on external audit engagements.

ON A MOTION made by Trustee Nayden and seconded by Director Archambault, the JACC approved the fee proposed by BKD to perform the FY18 NCAA Annual Agreed upon Procedures to the Statements of Revenues and Expenses for Athletic Programs.

ON A MOTION made by Trustee Nayden and seconded by Director Holt, the JACC approved the fee proposed by CohnReznick to perform the audit of expenditures for UConn 2000 projects substantially completed during the FY18 and perform agreed upon procedures on FY18 UConn 2000 expenditures.

Tab 7 – Informational / Educational Items
• Compliance Chatter Update,
• UConn Health Compliance Matters Newsletter – Summer 2018.

There being no further business, ON A MOTION made by Trustee Nayden and seconded by Trustee Gouin, the meeting was adjourned at 11:08 a.m.

Respectfully submitted,

Angela Marsh