The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:15 a.m. by Trustee Nayden. **ON A MOTION** made by Trustee Gouin and seconded by Trustee Carbray, the JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

**Executive Session was attended by the following:** Joint Audit & Compliance Committee members: R. Carbray, J. Freedman, J. Gouin, T. Holt, and D. Nayden; Audit Staff members: C. Chiaputti, H. Hildebrandt, A. Marsh, G. Perrotti, A. Quaresima, and E. Zincavage; Compliance Staff members: K. Fearnley, K. Hill, and E. Vitullo; Senior Staff: C. Andrews, W. Byerly, J. Elliott, R. Rubin, J. Shoulson, and; General Counsel: J. Blumenthal, N. Gelston; Portions of Executive Session were also attended by: C. Gray, B. Metz, M. Mundrane, and R. Rudnick.

The Executive Session ended at 11:04 a.m. and the JACC returned to open session at 11:05 a.m. There were no public comments.

**Tab 1 – Minutes of the Meeting**

**ON A MOTION** made by Trustee Nayden and seconded by Director Freedman the minutes of the December 18, 2018 JACC meeting were approved.

**Tab 2 – External Engagements**

**ON A MOTION** made by Trustee Nayden and seconded by Director Holt, the JACC approved the $50,443 fee increase proposed by Marcum, LLP for the audit of UConn Health’s John Dempsey Hospital, University Medical Group, and Finance Corporation, for the 2018 fiscal year.

**ON A MOTION** made by Trustee Nayden and seconded by Trustee Carbray, the JACC approved the $12,500 fee increase proposed by CohnReznick, LLP for the UConn 2000 expenditures audit and agreed upon procedures engagement for the 2018 fiscal year.

P. Ballasy and C. Kurth from CohnReznick presented:
- UConn 2000 Construction Projects Funded with University of Connecticut General Obligation Bonds Audit and AUP FY2018
University of Connecticut & University of Connecticut Health Center
Joint Audit & Compliance Committee Meeting

Meeting Minutes from March 7, 2019

University of Connecticut, Wilbur Cross, North Reading Room, Mansfield Way, Storrs, CT

• UConn Construction Projects Funded with State of Connecticut General Obligation Bonds and University of Connecticut Special Obligation Student Fee Revenue Bonds Audit and AUP FY2018.

Tab 3 – Auditor of Public Accounts
State Auditors W. Felgate, A. Phung, M. Delaney, and T. Lepore presented:
• UConn Financial Reports for the Year Ended June 30, 2018;

Tab 4 – Significant Compliance Activities
K. Fearney provided an update on compliance activities and introduced the new Associate Compliance Officer for UConn Health, Kimberly Hill.

D. Abromaitis provided an update on Healthcare and Regulatory Compliance activities at UConn Health.

Tab 5 – UConn Health – HealthONE Update
B. Metz provided the committee with a HealthONE update.

Tab 6 – Storrs & UConn Health Significant Audit Activities
C. Chiaputti provided the JACC with an update on the status of audit assignments (UConn and UConn Health). The JACC reviewed and accepted six audits. In addition, Audit and Management Advisory Services had sixteen audits in progress during this reporting period.

A. Swinney and A. Fiorvanti provided an update on NCAA Division Football Bowl Subdivision home football game attendance requirements.

Tab 7 – Informational / Educational Items
• Compliance Chatter Update;
• UConn Health Compliance Matters Newsletter.

There being no further business, ON A MOTION made by Trustee Nayden and seconded by Director Holt, the meeting was adjourned at 11:51 a.m.

Respectfully submitted,

Angela Marsh