The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:05 a.m. by Trustee Boxer.

**ON A MOTION** made by Trustee Boxer and seconded by Trustee Gouin, the JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

**Executive Session was attended by the following:** Joint Audit & Compliance Committee members: M. Boxer, R. Carbray, J. Gouin, B. Pollard; Audit Staff members: C. Chiaputti, T. Dyer, K. Goss, H. Hildebrandt, A. Marsh, C. Murray, G. Perrotti, A. Quaresima, and E. Zincavage; Compliance Staff members: K. Fearney, K. Hill, and E. Vitullo; Senior Staff: A. Agwunobi, J. Geoghegan, S. Jordan, R. Maric, R. Rubin, and J. Shoulson; General Counsel: J. Blumenthal, N. Gelston; Portions of Executive Session were also attended by: C. Bernard, C. Bianchi, C. Eaton, C. Gray, M. Jednak, M. Larson, B. Metz, M. Mundrane, and S. Nolan.

The Executive Session ended at 10:59 a.m. and the JACC returned to open session at 11:01 a.m.

There were no public comments.

**Tab 1 – Minutes of the Meeting**

**ON A MOTION** made by Trustee Boxer and seconded by Trustee Pollard the minutes of the June 19, 2019 JACC meeting were approved.

**Tab 2 – External Engagements**

C. Chiaputti provided the committee with an update on ongoing external engagements.

**ON A MOTION** made by Trustee Boxer and seconded by Trustee Pollard, the JACC approved the Extension and Fee proposed by BKD for the Appointment to Perform the NCAA Agreed-Upon Procedures for FY2019 and 2020.

**ON A MOTION** made by Trustee Boxer and seconded by Trustee Gouin, the JACC approved the Extension and Fee proposed by CohnReznick for the Appointment to Perform the UConn 2000 Audit and Agreed-Upon Procedures.
ON A MOTION made by Trustee Boxer and seconded by Director Carbray, the JACC approved the Appointment of Pharmacy Optimization LLC, dba 340B Compliance Partners – to Perform UConn Health Covered Entities 340B Drug Pricing Program Audit.

ON A MOTION made by Trustee Boxer and seconded by Trustee Pollard, the JACC retroactively approved the Appointment of Auditrax LLC, dba Finix to Perform Recovery Audit Services and Accounts Payable Operations Review.

Tab 3 – Significant Compliance Activities

K. Fearney provided an update on compliance activities.

A. Fiorvanti provided an NCAA Compliance update.

Tab 4 – Draft Audit and Compliance Plans

C. Chiaputti provided the committee with the FY20 Draft Audit Plans for Storrs and UConn Health and ON A MOTION made by Trustee Boxer and seconded by Trustee Carbray; they were approved by the committee.

K. Fearney provided the committee with the FY20 Draft Compliance Plans for Storrs and UConn Health and ON A MOTION made by Trustee Boxer and seconded by Trustee Gouin; they were approved by the committee.

Tab 5 – UConn Health – Epic (HealthONE) Update

B. Metz provided the committee with an update on the status of the electronic health record project, Epic.

Tab 6 – UConn & UConn Health Significant Audit Activities

C. Chiaputti provided the JACC with an update on the status of audit assignments (UConn and UConn Health). The JACC reviewed seven audits. In addition, Audit and Management Advisory Services had thirteen audits in progress at the end of this reporting period.

Tab 7 – Informational / Educational Items

- Compliance Newsletter – UConn
- UConn Health Compliance Matters

There being no further business, ON A MOTION made by Trustee Boxer and seconded by Trustee Pollard, the meeting was adjourned at 11:19 a.m.

Respectfully submitted,

Angela Marsh