The meeting of the Joint Audit and Compliance Committee (JACC) was called to order at 10:01 a.m. by Trustee Boxer. ON A MOTION made by Trustee Boxer and seconded by Director Carbray, the JACC voted to go into executive session to discuss:

- C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)]
- C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims
- C.G.S. 1-200(6)[E] – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)]
- C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)]

Executive Session was attended by the following: Joint Audit & Compliance Committee members: F. Archambault, M. Boxer, R. Carbray, A. Dennis-Lavigne, J. Gouin, T. Holt, and B. Pollard; Audit Staff members: T. Dyer, E. Gallo, M. Gendreau, K. Goss, H. Hildebrandt, G. Perrotti, A. Quaresima, and E. Zincavage; Compliance Staff members: K. Fearney, K. Hill, and E. Vitullo; Senior Staff: A. Agwunobi, W. Byerly, J. Geoghegan, S. Jordan, A. Keilty, J. Shoulson, and General Counsel: J. Blumenthal, Portions of Executive Session were also attended by: C. Bernard, P. Casey, G. Daniels, M. Glasgow, C. Gray, L. Kozma, M. Mundrane, C. Podesta.

The Executive Session ended at 10:51 a.m. and the JACC returned to open session at 10:52 a.m.

There were no public comments.

Tab 1 – Minutes of the Meeting

ON A MOTION made by Trustee Boxer and seconded by Director Gouin the minutes of December 20, 2019 JACC meeting were approved.

Tab 2 – External Engagements

K. Fearney provided the committee with an update on ongoing external engagements.

ON A MOTION made by Trustee Boxer and seconded by Director Gouin, the Marcum LLP FY 20-21 extension and fees were approved.
Tab 4 – Auditors of Public Accounts

C. Jackson from Marcum LLP BKD presented the 2019 Financial Statements for John Dempsey Hospital, UConn Medical Group and the Finance Corporation.

Tab 5 - UConn Health – EPIC Update

C. Podesta provided an extensive update on the EPIC program

Tab 6 – University of Connecticut Revised Travel and Entertainment Policy and Procedures

P. Casey presented revisions to the Travel and Entertainment Policy and Procedures. ON A MOTION made by Trustee Boxer and seconded by J. Gouin the Travel and Entertainment Policy was approved.

Tab 7 – Significant Compliance Activities

K. Fearney provided an update on compliance activities.

W. Byerly provided an update on various Research Compliance activities.

Tab 8 – UConn & UConn Health Significant Audit Activities

K. Fearney provided the JACC with an update on the status of audit assignments (UConn and UConn Health). The JACC reviewed three audits.

Tab 9 – Informational / Educational Items

Compliance Matters

There being no further business, ON A MOTION made by Trustee Boxer and seconded by Director Gouin, the meeting was adjourned at 11:39 a.m.

Respectfully submitted,

Liz Vitullo